# Zeta functions of adjacency algebras induced by graphs

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August 8, 2013 at 2013 Combinatorics Workshop, NIMS, Daejeon, Korea Γ: a finite digraph with v vertices  $A_{\Gamma}$ : the adjacency matrix of Γ  $R_{\Gamma}$ : the subring of  $M_{v \times v}(\mathbb{Z})$  generated by  $A_{\Gamma}$ , i.e.,  $R_{\Gamma} = \{f(A_{\Gamma}) \mid f(x) \in \mathbb{Z}[x]\}$ L: an  $R_{\Gamma}$ -module, e.g.,  $R_{\Gamma}$ ,  $\mathbb{Z}^{v}$ 

# Questions

(1) How many submodules of L with given index are there?

(2) Find the class number h(L), i.e., the number of isomorphism classes of submodules of L with finite index.

# Example 1

 $\Gamma: \text{ the null graph} \\ R_{\Gamma} = \mathbb{Z} \\ L = \mathbb{Z}^{m}$ 

How many  $\mathbb{Z}\text{-submodules}$  of  $\mathbb{Z}^2$  with index two are there?

You will see that  $\{(2a, b) \mid a, b \in \mathbb{Z}\}$  and  $\{(a, 2b) \mid a, b \in \mathbb{Z}\}$  are submodules of index two.

What else?

The number of  $\mathbb{Z}$ -submodules of  $\mathbb{Z}^m$  with index n is equal to the number of integral lower triangular matrices  $(b_{ij})_{1 \le i,j \le m}$  such that

 $0 \le b_{ij} < b_{jj}$  for all i, j and  $b_{11}b_{22} \cdots b_{mm} = n$ . For example, if m = n = 2, then the matrices are

$$\begin{pmatrix} 2 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 0 & 2 \end{pmatrix}.$$

Therefore,

 $\mathbb{Z}(2,0) + \mathbb{Z}(0,1),$  $\mathbb{Z}(1,0) + \mathbb{Z}(0,2)$  and  $\mathbb{Z}(1,1) + \mathbb{Z}(0,2)$  are the submodules of index two.

#### **Class number**

Since every submodule of  $\mathbb{Z}^m$  with finite index is a free  $\mathbb{Z}$ -module of rank m,  $h(\mathbb{Z}^m) = 1$ .

# Example 2

$$\begin{array}{l} \Gamma: \text{ the path of length one} \\ A_{\Gamma} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \ R_{\Gamma} = \left\{ \begin{pmatrix} a & b \\ b & a \end{pmatrix} \mid a, b \in \mathbb{Z} \right\} \\ L = \mathbb{Z}^2 \end{array}$$

*L* has exactly one submodule of index 2, which is  $M = \{(x, y) \in \mathbb{Z}^2 \mid x \equiv y \pmod{2}\}$ . *L* has exactly two submodules of index 3, and *L* has exactly three submodules of index 4.

#### **Class number**

Since *M* has exactly three submodules of index two, we have  $M \not\simeq L$ , and we can prove that h(L) = 2.

## Example 3

$$\begin{array}{l} \Gamma: \text{ the directed cycle of length 3} \\ A_{\Gamma} = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}, R_{\Gamma} = \left\{ \begin{pmatrix} a & b & c \\ c & a & b \\ b & c & a \end{pmatrix} \mid a, b, c \in \mathbb{Z} \right\} \\ L = R_{\Gamma} \\ L \text{ has exactly two submodules of index 2,} \\ L \text{ has exactly one submodule of index 3, and} \\ L \text{ has exactly three submodules of index 4.} \end{array}$$

## Works by Louis Solomon

L. Solomon,

Zeta functions and integral representation theory, *Advances in Math.* 26 (1977), no. 3, 306–326.

A: a f.d. semisimple  $\mathbb{Q}$ -algebra R: a  $\mathbb{Z}$ -order of A, (a subring of A, a  $\mathbb{Z}$ -lattice) V: a f.g. A-module

*L*: an *R*-lattice in *V* (an *R*-module,  $\mathbb{Z}$ -lattice) For  $n \in \mathbb{Z}^+$  we denote by  $a_n$  the number of submodules of *L* with index *n*.

$$\zeta_L(s) := \sum_{n \ge 1} \frac{a_n}{n^s} = \prod_{p \in B} \delta_p(p^{-s}) \cdot \zeta_V(s)$$

for some  $\delta_p(t) \in \mathbb{Q}(t)$  where B is a finite set of primes and

 $\begin{aligned} \zeta_V(s) &= \prod_{k=1}^r \prod_{j=0}^{m_k e_k - 1} \zeta_{F_k}(n_k s - j) \\ \text{when } A &\simeq \bigoplus_{k=1}^r A_k, \ A_k &\simeq M_{l_k}(D_k) \\ F_k &= Z(A_k), \ n_k^2 = \dim_{F_k} A_k, \ e_k = \dim_{F_k} D_k \\ V &\simeq \bigoplus_{k=1}^r m_k W_k, \\ \zeta_{F_k}(s) \text{ is the Dedekind zeta function of } F_k. \end{aligned}$ 

#### Example 1

 $A = \mathbb{Q}$  $R = \mathbb{Z}$  $V = \mathbb{Q}^m$  $L = \mathbb{Z}^m$ 

$$\zeta_L(s) = \zeta(s)\zeta(s-1)\cdots\zeta(s-m+1)$$

where  $\zeta(s)$  is the Riemann zeta function, i.e.,  $\zeta(s) = \frac{1}{1^s} + \frac{1}{2^s} + \frac{1}{3^s} + \cdots$ . If m = 2, then  $a_2 = 3$ ,  $a_3 = 4$  and  $a_4 = 7$  since  $\zeta(s)\zeta(s-1) = (\frac{1}{1^s} + \frac{1}{2^s} + \frac{1}{3^s} + \cdots)(\frac{1}{1^s} + \frac{2}{2^s} + \frac{3}{3^s} + \cdots)$  $= \frac{1}{1^s} + (2+1)\frac{1}{2^s} + (3+1)\frac{1}{3^s} + (4+2+1)\frac{1}{4^s} + \cdots$ 

# **Example 2** $\Gamma$ : the path of length 2, $R = R_{\Gamma}$ , $L = \mathbb{Z}^2$

$$\zeta_L(s) = (1 - 2^{-s} + 2^{1 - 2s})\zeta(s)\zeta(s)$$
$$= (1 - \frac{1}{2^s} + \frac{2}{4^s})(\frac{1}{1^s} + \frac{1}{2^s} + \frac{1}{3^s} + \cdots)(\frac{1}{1^s} + \frac{1}{2^s} + \frac{1}{3^s} + \cdots)$$

How many submodules with index 2?

# Known Results

G: a finite group  $A = \mathbb{Q}[G]$ : the group ring of G over  $\mathbb{Q}$   $R = \mathbb{Z}[G]$ : a  $\mathbb{Z}$ -order of  $\mathbb{Q}[G]$ V = A, L = R

[L.Solomon]  
If 
$$G \simeq C_p$$
, then  
 $\zeta_L(s) = (1 - p^{-s} + p^{1-2s})\zeta(s)\zeta_F(s)$   
where  $F = \mathbb{Q}(e^{\frac{2\pi i}{p}})$  and  $p$  is a prime.

 $[I. {\rm Reiner}] \\ G \simeq C_{p^2}$ 

[Y.Hironaka] G is meta-cyclic with certain conditions

[Y.Takegahara]  $G \simeq C_2 \times C_2$  or  $C_3 \times C_3$ .

## **Attempts for Several Digraphs**

In this slide we consider zeta functions of  $L = R_{\Gamma}$ for several digraphs  $\Gamma$ .

(1)  $\Gamma$ : the direct cycle of length N $R_{\Gamma} \simeq \mathbb{Z}[C_N]$  where  $C_N \simeq \langle (12 \cdots N) \rangle$ .

(2)  $\Gamma$ : the cycle of length NIt is difficult to compute the zeta function of  $R_{\Gamma}$ even if N = 4. But, it is possible whenever N is a prime. [H, Hanaki]

(3)  $\Gamma = Cay(\mathbb{F}_q, H)$  where  $H \leq F_q^{\times}$ It is difficult to compute the zeta function of  $R_{\Gamma}$ even if q = 4. But, it is possible whenever q is a prime. [H, Hanaki]

(4)  $\Gamma$ : the complete graph of degree NWe can find it for each N. [H, Hanaki]

#### Theorem 1

Let (X, S) be an association scheme such that |X| is a prime p. Then

$$\zeta_{\mathbb{Z}S}(s) = (1 - p^{-s} + p^{1-2s})\zeta(s)\zeta_F(s)$$

where F is the minimal splitting field of a nonprincipal character of  $\mathbb{C}S$ .

#### Theorem 2

Let (X, S) be an association scheme such that |S| = 2 and  $|X| = \prod_{i=1}^{k} p_i^{m_i}$ . Then

$$\zeta_{\mathbb{Z}S}(s) = \prod_{i=1}^k \delta_{p_i, m_i}(p_i^{-s}) \cdot \zeta(s)^2$$

where  $\delta_{p_i,m_i}(t) = p_i^{m_i} t^{2m_i} + \sum_{j=0}^{m_i-1} p_i^{j} t^{2j} (1-t).$ 

#### Remarks

(1) If  $\Gamma = Cay(\mathbb{F}_p, H)$  with  $H \leq \mathbb{F}_p^{\times}$ , then  $R_{\Gamma} = \mathbb{Z}S$ . (2) If  $\Gamma = K_{|X|}$ , then  $R_{\Gamma} = \mathbb{Z}S$  with |S| = 2.

## What is an association scheme?

A set S of  $N \times N$  {0,1}-matrices { $A_0, A_1, \ldots, A_d$ } such that (i)  $\sum_{i=0}^d A_i = J$  where J is the all one matrix; (ii)  $A_0$  is the identity matrix; (iii) { $A_0^T, A_1^T, \ldots, A_d^T$ } = { $A_0, A_1, \ldots, A_d$ }; (iv)  $\forall i, j, A_i A_j \in \text{span}_{\mathbb{Z}}$ { $A_0, A_1, \ldots, A_d$ }.

Examples  
(i) 
$$\left\{ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix} \right\}$$
(ii) 
$$\left\{ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{pmatrix} \right\}$$

(iii) The centralizer ring of a transitive permutation group has a unique basis consisting of  $\{0, 1\}$ matrices, which satisfies the above conditions.

## **Definition (Adjacency Algebras)**

span<sub> $\mathbb{Z}$ </sub>{ $A_0, A_1, \ldots, A_d$ } is a  $\mathbb{Z}$ -algebra, denoted by  $\mathbb{Z}S$ .

For a ring R we denote  $R \otimes \mathbb{Z}S$  by RS, called the *adjacency algebra* of S over R.

#### Sketch of the proof of Theorem 1

Let (X, S) be an association scheme such that |X| is a prime p.

Recall that

$$\zeta_L(s) = \prod_{q \in B} \delta_q(q^{-s}) \cdot \zeta_V(s).$$

It is known that  $\mathbb{Q}S \simeq \mathbb{Q} \oplus F$ where F is the minimal splitting field of  $\mathbb{C}S$ . Thus,  $\zeta_V(s) = \zeta(s) \cdot \zeta_F(s)$ .

**Lemma 1** We have  $B = \{p\}$ . (Proof) Let  $y \in \Lambda$ . Then  $y = \sum_{i=0}^{d} c_i A_i$  for  $c_0, c_1, \dots, c_d \in \mathbb{Q}$ . Note that  $c_i = \rho(y)/(n_i|X|)$  where  $n_i$  is the constant rowsum of  $A_i$  and  $\rho$  is the standard character. Since  $\chi_0 = \sum_{i=0}^{d} m_i \chi_i$ ,  $m_0 = n_0 = 1$  and  $n_1 = n_2 = \dots = n_d = m_1 = m_2 = \dots = m_d$ , we have  $py \in \mathbb{Z}S$ . This implies that p is a unique prime which divides  $|\Lambda : \mathbb{Z}S|$ .

## Lemma 2 [Solomon]

$$\delta_p(p^{-s}) = \frac{\zeta_{\mathbb{Z}_p S}(s; \mathbb{Z}_p S)}{\zeta_{\mathbb{Q}S}(s)_p}$$

where  $\mathbb{Z}_p$  is the localization of  $\mathbb{Z}$  at p.

#### Lemma 3

 $\zeta_{\mathbb{Q}S}(s)_p = \zeta(s)_p \zeta_F(s)_p = (1 + p^{-s} + p^{-2s} + \cdots)^2.$ (Proof) Let R be the ring of integers of F. It is known ([Hanaki]) that there exists a unique maximal ideal I which divides pR, and |R : I| = p. Thus, the assertion follows from the definition of the Dedekind zeta function. Lemma 4 We have the following:

(1)  $\mathbb{Z}pS$  has exactly one maximal submodule M;

(2) M has exactly (p+1) maximal submodules,

two of which are isomorphic to M and

$$p-1$$
 of which are isomorphic to  $\mathbb{Z}_pS$ .

(Proof)

(1) follows from the fact that  $(\mathbb{Z}_p/p\mathbb{Z}_p)S$  is local. (2) First, we prove that

M has a  $\mathbb{Z}_p$ -basis  $(u, v, v^2, \ldots, v^d)$  where

 $u = \sum_{i=0}^{d} A_i$  and  $v = kA_0 - A_i$  for a suitable  $A_i$ , Then we can prove that  $\{N_a \mid a = 0, 1, \dots, p, \infty\}$ are exactly maximal submodules of M where  $N_a$ is spanned by  $(pu, au + v, v^2, \dots, v^d)$  for  $a \neq \infty$  and  $N_{\infty}$  is spanned by  $(u, pv, v^2, \dots, v^d)$ . Moreover,  $N_0 \simeq N_{\infty} \simeq M$ .

### Lemma 5

We have  $\delta_p(X) = 1 - X + pX^2$ .

(Proof) The structure of the poset of submodules of  $\mathbb{Z}_p S$  is the same as  $\mathbb{Z}_p[G]$  where G is a group of order p. So, the assertion follows from the method given in [Solomon].

## Other digraphs

(1)  $\Gamma$ : The directed path of length NThen  $\mathbb{Q} \otimes R_{\Gamma}$  is not semisimple and  $h(R_{\Gamma})$  is not finite.

(2)  $\Gamma$ : the cycle of length 4 It is expected that  $h(R_{\Gamma}) = 10$ (joint work with Semin Oh).

(3)  $\Gamma$ : a strongly-regular graph with integral eigenvalues, e.g., the Peterson graph.  $\mathbb{Q} \otimes R_{\Gamma} \simeq \mathbb{Q} \oplus \mathbb{Q} \oplus \mathbb{Q}$ 

(4)  $\Gamma$ : the path of length N

(5)  $\Gamma$ : the star graph with N leaves.

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Thank you for your attention.